

COMMON APPLICATION FORM

| | | |
|--|------------------------------------|----------------------------------|
| Investment Advisor's Name & ARN | Sub-Broker's Name & ARN | Official Acceptance Point |
| 69253 | | Stamp & Sign |

Appl. **CA**

Date : DD / MM / YYYY

1. EXISTING UNITHOLDER INFORMATION [Refer Guideline 2(a)]

If you have, at any time, invested in any Scheme of Kotak Mahindra Mutual Fund and wish to hold your present investment in the same Account, please furnish your Name, Account Number and PAN details below and proceed to Section 4.

Name of Sole / First Holder : _____ Account No.: _____ /

2. NEW APPLICANTS' PERSONAL INFORMATION [Refer Guideline 2]

| | | | | | | | | |
|-----------------------------|-------------|-----------|--|-----------------------------|-----------------------------|------------------------------|-----------------------------|----------------|
| SOLE/FIRST APPLICANT | | | | Mr <input type="checkbox"/> | Ms <input type="checkbox"/> | Mrs <input type="checkbox"/> | Dr <input type="checkbox"/> | Date of Birth |
| | | | | | | | | DD / MM / YYYY |
| First Name | Middle Name | Last Name | | | | | | |

| | | | | | | | | |
|---|-------------|-----------|--|-----------------------------|-----------------------------|------------------------------|-----------------------------|---|
| GUARDIAN (in case Sole / First Applicant is a minor) | | | | Mr <input type="checkbox"/> | Ms <input type="checkbox"/> | Mrs <input type="checkbox"/> | Dr <input type="checkbox"/> | Status (Please ✓) <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI on Repatriation Basis <input type="checkbox"/> NRI on Non-Repatriation Basis <input type="checkbox"/> HUF <input type="checkbox"/> Proprietorship <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Private Limited Company <input type="checkbox"/> Public Limited Company <input type="checkbox"/> Mutual Fund <input type="checkbox"/> Mutual Fund FOF Scheme <input type="checkbox"/> Body Corporate <input type="checkbox"/> Registered Society <input type="checkbox"/> PF/Gratuity/Pension/ <input type="checkbox"/> Superannuation Fund <input type="checkbox"/> Trust <input type="checkbox"/> AOP / BOI <input type="checkbox"/> Foreign Institutional Investor <input type="checkbox"/> Others _____ (Please specify) |
| First Name | Middle Name | Last Name | | | | | | |
| | | | | | | | | |

| | | | | | | | | |
|--|--|--|--|-----------------------------|-----------------------------|------------------------------|-----------------------------|--|
| CONTACT PERSON (in case of Non-individual applicants) | | | | Mr <input type="checkbox"/> | Ms <input type="checkbox"/> | Mrs <input type="checkbox"/> | Dr <input type="checkbox"/> | |
| Name | | | | Designation | | | | |

| | | | | | | | | |
|--|-------------|-----------|--|-----------------------------|-----------------------------|------------------------------|-----------------------------|--|
| SECOND APPLICANT (Joint Holder 1) | | | | Mr <input type="checkbox"/> | Ms <input type="checkbox"/> | Mrs <input type="checkbox"/> | Dr <input type="checkbox"/> | |
| First Name | Middle Name | Last Name | | | | | | |

| | | | | | | | | |
|---|-------------|-----------|--|-----------------------------|-----------------------------|------------------------------|-----------------------------|--|
| THIRD APPLICANT (Joint Holder 2) | | | | Mr <input type="checkbox"/> | Ms <input type="checkbox"/> | Mrs <input type="checkbox"/> | Dr <input type="checkbox"/> | |
| First Name | Middle Name | Last Name | | | | | | |

MODE OF OPERATION (where there are more than one applicants)
 First Holder only Anyone or Survivor Joint

PAN * Sole / First Applicant

| | | |
|---|---|---|
| Enclosed (please ✓) <input type="checkbox"/> PAN Proof or <input type="checkbox"/> Form 60 / 61 | Enclosed (please ✓) <input type="checkbox"/> PAN Proof or <input type="checkbox"/> Form 60 / 61 | Enclosed (please ✓) <input type="checkbox"/> PAN Proof or <input type="checkbox"/> Form 60 / 61 |
|---|---|---|

* Mandatory for Investment Amount >= Rs. 50,000/- [Refer Guideline 2(d)]

RESIDENTIAL ADDRESS (Mandatory)

City _____ Pin Code _____ State _____

☑ E-mail _____ ☎ Tel. _____ ☎ (Cell) _____ ☎ (Fax) _____

OFFICE ADDRESS

City _____ Pin Code _____ State _____

☑ E-mail _____ ☎ Tel. _____ ☎ (Cell) _____ ☎ (Fax) _____

OVERSEAS ADDRESS (Mandatory for Non-Resident applicants) Address for Correspondence (Please ✓) Indian Overseas

City _____ Zip Code _____ State _____

Country _____ Nationality _____

To be filled by Applicant

Received from Mr./Ms. _____
an application for allotment of Units in the following Scheme:

ACKNOWLEDGEMENT SLIP

| Investment Details | Instrument Details | Amount |
|--------------------|-----------------------|-----------|
| Scheme _____ | No. _____ Dated _____ | Rs. _____ |
| Plan _____ | Bank & Branch _____ | |
| Option _____ | | |

Please retain this slip duly acknowledged by the Official Acceptance Point till you receive your Account Statement.

Appl. **CA**

Official Acceptance Point Stamp & Sign

3. BANK ACCOUNT DETAILS (MANDATORY)

[Refer Guideline 3]

Name of Bank _____
 Branch _____
 City _____ (Clearing Circle)
 Account No. _____
 MICR Code _____
This is the 9 digit No. next to your Cheque No.
 Account Type : Current Savings NRO NRE FCNR Others

DIRECT CREDIT

We offer a Direct Credit Facility with the following banks for paying out Dividend and Redemption Proceeds to you faster.

- ABN AMRO Bank
- Centurion Bank of Punjab
- Citibank
- Deutsche Bank
- HDFC Bank
- HSBC
- IICI Bank
- IDBI Bank
- IndusInd Bank
- Kotak Mahindra Bank
- Standard Chartered Bank
- UTI Bank

If your bank account is with any of these banks, we will directly credit your dividend / redemption proceeds into the same.

If, however, you wish to receive a cheque payout, please tick the box alongside.

4. INVESTMENT DETAILS

[Refer Guideline 4]

Scheme _____
 Plan _____
 Option Growth Bonus (available only in Kotak Bond Regular)
 (Please ✓) Dividend : Payout Re-investment
 Frequency _____
 Investment Amount Rs. **A** DD Charges (if applicable) Rs. **B** Net Amount (if applicable) Rs. **A - B**
 Mode of Payment Cheque / Demand Draft / Fund Transfer Instrument No. _____ dated _____
 (Strike off whichever is not applicable)
 Drawn on _____ Bank
Cheque / DD to be drawn in favour of specific Scheme / Plan as indicated in last column of Scheme Snapshot Table.
 If you are an NRI Investor, please indicate source of funds for your investment (Please ✓)
 NRE NRO FCNR Others _____ (Please specify)

5. NOMINATION DETAILS (to be filled in by Individual(s) applying Singly or Jointly)

[Refer Guideline 5]

I/We _____ and _____ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Account No./Application No. _____ in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustee.

DETAILS OF NOMINEE

NAME _____ Date of Birth _____
 ADDRESS _____
 City/Town _____ Pin _____
 Tel. _____
 Signature of Nominee _____

DETAILS OF GUARDIAN (to be furnished in case Nominee is a Minor)

(Strike off if this section is not applicable to you)
 NAME _____
 ADDRESS _____
 City/Town _____ Pin _____
 Tel. _____
 Signature of Guardian _____

6. KOTAK FACILITIES

E-MAIL COMMUNICATION [Refer Guideline 6]

I / We would like to subscribe to the following facilities offered by Kotak Mahindra Mutual Fund : [Please ✓]

- Transact over the Internet / Telephone**
(Please fill the enclosed Internet / Phone Transactions Form)
- Avail Systematic Investment / Withdrawal / Transfer Plan**
(Please fill the enclosed Facilities Form)
- Avail SIP Auto Debit Facility**
(Please fill the enclosed SIP Auto Debit Facility Form)

I / We would like to receive the following communication by E-Mail: [Please ✓]

- Account Statement Monthly Update ECS of Dividends
- Transaction Confirmation Annual Report

Please furnish your Email ID below :

7. DECLARATION AND SIGNATURES

[Refer Guideline 7]

I / We have read and understood the contents of the Offer Document(s) of the respective Scheme(s) of Kotak Mahindra Mutual Fund. I / We hereby apply for allotment / purchase of Units in the Scheme(s) indicated in Section 4 above and agree to abide by the terms and conditions applicable thereto. I / We hereby declare that I / We are authorised to make this investment in the above-mentioned scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I / We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or my bank(s) / Kotak Mahindra Mutual Fund's bank(s).

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

Applicable to NRIs seeking repatriation of redemption proceeds: I / We confirm that I am / we are Non-Resident(s) of Indian Nationality / Origin and that I / We have remitted funds from abroad through approved banking channels or from funds in my/our NRE / FCNR Account.

SIGNATURE(S) _____
 Sole / First Applicant _____
 Second Applicant _____
 Third Applicant _____
 (To be signed by **All Applicants**)

Kotak Mahindra Mutual Fund
 91/92, 9th Floor, Sakhar Bhavan, 230,
 Nariman Point, Mumbai 400 021
 ☎ 022-6638 4444
 ✉ mutual@kotak.com 🌐 www.kotakmutual.com

Computer Age Management Services Pvt. Ltd.
 158, Rayala Towers, 2nd Floor, Anna Salai, Chennai 600 002
 ☎ 044 2852 1839
 ✉ enq_k@camsonline.com 🌐 www.camsonline.com

We are at your service on 1800-222-626 from 9.30 a.m. to 6.30 p.m. (Monday to Friday)